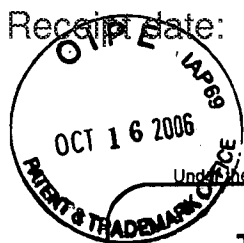


Receipt date: 10/16/2006

10828997 - GAU-3729



PTO/SB/21 (09-06)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

35

Application Number 10/828,997

Filing Date April 20, 2004

First Named Inventor David W. Caldwell

Art Unit 3729

Examiner Name Carl J. Arbes

Attorney Docket Number 37041-11481

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	3. Postcard
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Jenner & Block LLP		
Signature			
Printed name	Mark P. Vrla		
Date	October 12, 2006	Reg. No.	43,973

CERTIFICATE OF TRANSMISSION/MAILING

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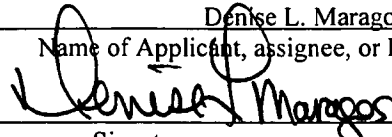
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10828997 - GAU: 3729

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PATENT
Attorney Docket
37041-11481



Denise L. Maragos
Name of Applicant, assignee, or Registered Rep.
 _____
Signature Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David W. Caldwell, et al.

Serial No: 10/828,997

Filed: April 20, 2004

Title **SUBSTRATE WITH MULTIPLE CONDUCTIVE LAYERS AND METHODS FOR MAKING AND USING SAME**

Group Art Unit: 3729

Examiner: Carl J. Arbes

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and in compliance with 37 C.F.R. § 1.56, the Office's attention is directed to the references listed on the attached PTO/SB/08A and B. A copy of each listed document is enclosed, including, as applicable, an English language abstract indicating the relevance of the document.

The undersigned hereby certifies that each item of information contained in this Statement was first cited by the international searching authority in connection with a corresponding international application not more than three months before the filing of this Statement. Applicant believes that this Statement is being filed before the mailing of an action closing prosecution on the merits. In view of the above, and pursuant to 37 C.F.R. § 1.97(e), Applicant believe that no fee is required for the consideration for this Statement. In the event Applicant is mistaken and the Office deems that the fee set forth in 37 C.F.R. § 1.17(p) is due, the Office is authorized to charge such fee to Deposit Account No. 10-0460.

Respectfully submitted,



Mark P. Vrla
Registration No. 43,973

Dated: October 12, 2006

JENNER & BLOCK LLP
330 North Wabash Avenue
Chicago, IL 60611
Telephone No: (312) 222-9350
Facsimile No: (312) 527-0484

Receipt date
OCT 16 2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	10/828,997
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Filing Date	April 20, 2004
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First Named Inventor	David W. Caldwell
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Art Unit	3729
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Examiner Name	Carl J. Arbes
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Attorney Docket Number	37041-11481
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Sheet	1	of	2
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		JP 02-067522	03/1990	Hayaji et al.		
		JP 04-032195	02/1992	Yamamoto et al.		
		JP 04-069979	03/1992	Yoritomi et al.		
		JP 07-140487	06/1995	Masaaki et al.		

/Carl Arbes/

1/22/10

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/828,997
		Filing Date	April 20, 2004
		First Named Inventor	David W. Caldwell
		Art Unit	3729
		Examiner Name	Carl J. Arbes
Sheet 2	of 2	Attorney Docket Number	37041-11481

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Preliminary Examination Report Dated October 4, 2006	

Examiner Signature	/Carl Arbes/	Date Considered	1/22/10
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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